

Express Mail No. EV 366 599 849 US

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Louis B. Rosenberg

Serial No.:

09/823,943

Filing Date:

March 30, 2001

For:

HAPTIC REMOTE CONTROL FOR TOYS

Examiner:

Cegielnik, Urzula M.

Art Unit:

3712

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith on Form PTO/SB/08A a listing of documents known to the Applicant and/or his attorney. Applicant respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicant does not waive any rights to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. Copies of the documents are enclosed.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

10/19/2004 RMEBRAHT 00000008 09823943

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Express Mail No. EV 315 187 906 US

This Information Disclosure Statement is being submitted before the mailing date of a Notice of Allowance in this application. No item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. In accordance with the requirements of 37 C.F.R. § 1.97(d), please find attached a check in the amount of \$180.00 to cover the fee set forth in 37 C.F.R. § 1.17p. The Commissioner is authorized to charge any additional fees to Deposit Account 16-1435. A duplicate of this document is attached for that purpose.

Respectfully submitted,

Date: 001.12, 2004

John C. Alemanni (Reg. No. 47,384)

KILPATRICK STOCKTON LLP 1001 West Fourth Street Winston-Salem, NC 27101 (336) 607-7300

09823943 - GAU: 3711

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Application Number** 09/823,943 Filing Date March 30, 2001 First Named Inventor Louis B. Rosenberg 3712 Art Unit Cegielnik, Urzula M. Examiner Name

Complete if Known

(Use as many sheets as necessary)

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ATEAT

IMM127 (51851/281195) Sheet of Attorney Docket Number

U.S. PATENT DOCUMENTS								
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document				
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document		T <sup>6</sup>		
		EP 0 940 162 A1	9/8/99	Sony Computer Entertainment, Inc.				
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Examiner Signature	/Urszula M. Cegielnik/ (03/11/2010	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>8</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail No.: EV 366 599 849 US

09823943PTGPAU0-GVD11 Approved for use through 07/31/2006. OMB 0651-0032

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Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

180.00

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

Complete II Known					
Application Number	09/823,943				
Filing Date	March 30, 2001				
First Named Inventor	Louis B. Rosenberg				
Examiner Name	Cegielnik, Urzula M.				
Art Unit	3712				
Attorney Docket No.	IMM127 (51851/281195)				

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METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)				
Electric Control Control Control Control			3. ADDITIONAL FEES						
				Large	Entity	Small E	ntity		
☐ Deposit Account:				Fee	Fee	Fee	Fee	Fee Description Fee	Paid
Deposit Account	16-1435			Code 1051	( <b>\$)</b> 130	Code 2051	( <b>\$</b> ) 65	Surcharge - late filing fee or oath	
Number	10-1435			1052	50	2052	25	· ·	$\vdash$
				1052	50	2032	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Kilpatrick Stoo	ckton LLP		1053	130	1053	130	Non-English specification	
Name				1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
		check all that apply)	noumonte	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) or any underpayment of fee(s) ☐ Charge fee(s) indicated below, except for the filing fee					1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identi	ified deposit ac	count.		1251	110	2251	55	Extension for reply within first month	
	FEE C	ALCULATION		1252	430	2252	215	Extension for reply within second month	
1. BASIC,FI	ILING FEE			1253	980	2253	490	Extension for reply within third month	
Large Entity	Small Entity			1254	1,530	2254	765	Extension for reply within fourth month	
	ee Fee	Fee Description	Pro Bald	1255	2,080	2255	1,040	Extension for reply within fifth month	
	ode (\$) 001 395	Hillian Glina foo	Fee Paid	1401	340	2401	170	Notice of Appeal	
	001 395	Utility filing fee Design filing fee		1402	340	2402	170	Filing a brief in support of an appeal	
	003 275	Plant filing fee		1403	300	2403	150	Request for oral hearing	
I I	004 395	Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80	Provisional filling fee		1452	110	2452	55	Petition to revive - unavoidable	
'					1,370	2453	685	Petition to revive – unintentional	
	SUBTOTA	NL (1)	(\$) 0	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FO	R UTILITY AND R	EISSUE	1502	490	2502	245	Design issue fee	
	_	Fee fro		1503	660	2503	330	Plant issue fee	
Total Claims	— <u>-</u>	xtra Claims belov	Fee Paid	1460	130	1460	130	Petitions to the Commissioner	
Independent	=			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	- ** =	0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	\$180
Multiple Dependent	<del></del>	×	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Fee Fee	Small Entity			1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$)	Code (\$)	Foo Description		1810	790	2810	395	For each additional invention to be	
1202 18	2202 9	Claims in excess of						examined (37 CFR § 1.129(b))	
1201 88	2201 44 2203 15			1801	790	2801	395	Request for Continued Examination (RCE)	
1203 300		** Reissue indenen	•	1802	900	1802	900	Request for expedited examination	
1204 88 2204 44 *** Reissue independent daims over original patent					900	1802	500	of a design application	
1205 18 2205 9 ** Reissue daims in excess of 20 and over original patent					Other fee (specify)				
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or number previo	**or number previously paid, if greater; For Reissues, see above								
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WARPING: Information on this form may become public. Credit card information should not be inclyded on this form. Provide credit card information and authorization on PTO-2038.

Registration No. (Attorney/Agent)

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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336-607-7311

October 12, 2004

Telephone